

Wannier functions, Modern theory of polarization

Literature:

- 1 R. D. King-Smith and David Vanderbilt, Phys. Rev. B **47**, 12847.
- 2 Nicola Marzari and David Vanderbilt, Phys. Rev. B **56**, 12847.
- 3 Raffaele Resta, Phys. Rev. Lett. **80**, 1800.
- 4 Raffaele Resta and David Vanderbilt, Theory of Polarization: A Modern Approach, in *Physics of Ferroelectrics: a Modern Perspective*, ed. by K.M. Rabe, C.H. Ahn, and J.-M. Triscone (Springer-Verlag, 2007, Berlin), pp. 31-68. (local preprint).
- 5 Jenő Sólyom. Fundamentals of the Physics of Solids: Volume II, Electronic properties (Springer Verlag, Berlin;Heiderberg, 2009).
- 6 Rui Yu, Xiao Liang Qi, Andrei Bernevig, Zhong Fang, and Xi Dai, Phys. Rev. B **84**, 075119 (2011).
- 7 J. K. Asbóth, L. Oroszlány, and A. Pályi, arXiv:1509.02295 (*A Short Course on Topological Insulators*, Lecture Notes in Physics, Vol. 919, 2016, Springer Verlag).

Further reading:

- 1 E. Blount, *Formalisms of band theory*, Solid State Physics, **13**, page 305-73. New York, Academic Press, (1962).
- 2 S. Kivelson, Phys. Rev. B **26**, 4269 (1982).
- 3 Raffaele Resta, *What makes an insulator different from a metal?*, arXiv preprint cond-mat/0003014, 2000.
- 4 J. Zak, Phys. Rev. Lett. **62**, 2747 (1989).

- Reminder: Bloch functions, periodic boundary condition
- Wannier functions: introduction
- Maximally localized Wannier functions
- Modern theory of polarization
- Polarization and Wannier centers
- Calculation of Berry phase in discrete k space
- Position operator and periodic boundary conditions
- Calculation of Wannier centers in 1D systems

Bloch functions, periodic boundary condition

We want to describe the “bulk” properties of crystalline materials.
In non-interacting approximation:

$$\hat{H} = \sum_{i=1}^{N_e} \left(\frac{\hat{p}_i^2}{2m_e} + U(\mathbf{r}_i) \right)$$

$U(\mathbf{r}) = U(\mathbf{r} + \mathbf{R}_n)$ is lattice-periodic potential, \mathbf{R}_n lattice vector.

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⇒ Hamiltonian is a sum of single-particle Hamiltonians, the solution of this N_e electron problem can be obtained using the solutions of the Schrödinger equation

$$\left[-\frac{\hbar^2}{2m_e} \nabla^2 + U(\mathbf{r}) \right] \Psi_i(\mathbf{r}) = E_i \Psi_i(\mathbf{r})$$

Bloch functions, periodic boundary condition

In order to capture the translational invariance of the bulk, we use periodic boundary condition \Rightarrow the solutions satisfy (Bloch theorem)

$$\Psi_{\mathbf{k}}(\mathbf{r} + \mathbf{R}_m) = e^{i\mathbf{k} \cdot \mathbf{R}_m} \Psi_{\mathbf{k}}(\mathbf{r})$$

wavevector \mathbf{k} can take on discrete values in the Brillouin zone

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Equivalent formulation:

$$\Psi_{\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}}u_{\mathbf{k}}(\mathbf{r})$$

where $u_{\mathbf{k}}(\mathbf{r} + \mathbf{R}_m) = u_{\mathbf{k}}(\mathbf{r})$ lattice periodic and

$$\left[\frac{(\hat{p} + \hbar\mathbf{k})^2}{2m_e} + U(\mathbf{r}) \right] u_{n,\mathbf{k}}(\mathbf{r}) = E_{n,\mathbf{k}}u_{n,\mathbf{k}}(\mathbf{r})$$

Bloch functions, periodic boundary condition

For equivalent wavenumber vectors $\mathbf{k}' = \mathbf{k} + \mathbf{G}$ (\mathbf{G} lattice vector of the reciprocal lattice)

$$\Psi_{n,\mathbf{k}} = e^{i\mathbf{k}\cdot\mathbf{r}} u_{n\mathbf{k}}(\mathbf{r}) = e^{i(\mathbf{k}'-\mathbf{G})\cdot\mathbf{r}} u_{n\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}'\cdot\mathbf{r}} u_{n\mathbf{k}'}(\mathbf{r})$$

where $u_{n\mathbf{k}'}(\mathbf{r}) = u_{n\mathbf{k}+\mathbf{G}}(\mathbf{r}) = e^{-i\mathbf{G}\cdot\mathbf{r}} u_{n\mathbf{k}}(\mathbf{r})$.

One can also show that $E_{n\mathbf{k}+\mathbf{G}} = E_{n\mathbf{k}}$.

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Wannier functions

Since

$$\Psi_{n,\mathbf{k}+\mathbf{G}}(\mathbf{r}) = e^{i(\mathbf{k}+\mathbf{G})\cdot\mathbf{r}} u_{n,\mathbf{k}+\mathbf{G}} = e^{i\mathbf{k}\cdot\mathbf{r}} u_{n,\mathbf{k}} = \Psi_{n,\mathbf{k}}(\mathbf{r})$$

for fixed \mathbf{r} the Bloch function $\Psi_{n,\mathbf{k}}(\mathbf{r})$ is periodic in the reciprocal space.

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\Rightarrow it can be expanded into a Fourier series:

$$\Psi_{n,\mathbf{k}}(\mathbf{r}) = \frac{1}{\sqrt{N}} \sum_{\mathbf{R}_j} \Phi_n(\mathbf{r}, \mathbf{R}_j) e^{i\mathbf{k}\cdot\mathbf{R}_j}$$

\mathbf{R}_j : Lattice vectors in real space

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Reverse transformation:

$$\Phi_n(\mathbf{r}, \mathbf{R}_j) = \frac{1}{\sqrt{N}} \sum_{\mathbf{k} \in \text{BZ}} e^{-i\mathbf{k}\cdot\mathbf{R}_j} \Psi_{n,\mathbf{k}}(\mathbf{r})$$

Wannier functions

$\Phi_n(\mathbf{r}, \mathbf{R}_j)$ is a function of $\mathbf{r} - \mathbf{R}_j$:

$$\begin{aligned}\Phi_n(\mathbf{r} + \mathbf{R}_n, \mathbf{R}_j + \mathbf{R}_n) &= \frac{1}{\sqrt{N}} \sum_{\mathbf{k} \in B_Z} e^{-i\mathbf{k} \cdot (\mathbf{R}_j + \mathbf{R}_n)} \psi_{n\mathbf{k}}(\mathbf{r} + \mathbf{R}_n) \\ &= \frac{1}{\sqrt{N}} \sum_{\mathbf{k} \in B_Z} e^{-i\mathbf{k} \cdot (\mathbf{R}_j + \mathbf{R}_n)} e^{i\mathbf{k} \cdot \mathbf{R}_n} \psi_{n\mathbf{k}}(\mathbf{r}) \\ &= \frac{1}{\sqrt{N}} \sum_{\mathbf{k} \in B_Z} e^{-i\mathbf{k} \cdot \mathbf{R}_j} \psi_{n\mathbf{k}}(\mathbf{r}) \\ &= \Phi_n(\mathbf{r}, \mathbf{R}_j)\end{aligned}$$

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For $\mathbf{R}_n = -\mathbf{R}_j$: $\Phi_n(\mathbf{r}, \mathbf{R}_j) = \Phi_n(\mathbf{r} - \mathbf{R}_j, 0)$ it depends only on $\mathbf{r} - \mathbf{R}_j$

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$\Phi_n(\mathbf{r} - \mathbf{R}_j, 0) = W_n(\mathbf{r} - \mathbf{R}_j)$ are **Wannier functions**

(See, e.g., Ref[5]).

Wannier functions

Orthonormality and completeness:

Bloch functions:

$$\int \Psi_{n,\mathbf{k}}^*(\mathbf{r}) \Psi_{n',\mathbf{k}'}(\mathbf{r}) d\mathbf{r} = \delta_{n,n'} \delta_{\mathbf{k},\mathbf{k}'}$$

$$\sum_{n,\mathbf{k}} \Psi_{n,\mathbf{k}}^*(\mathbf{r}) \Psi_{n,\mathbf{k}}(\mathbf{r}') = \delta(\mathbf{r} - \mathbf{r}')$$

Wannier functions

$$\int W_n^*(\mathbf{r} - \mathbf{R}_j) W_{n'}(\mathbf{r} - \mathbf{R}_{j'}) d\mathbf{r} = \delta_{\mathbf{R}_j, \mathbf{R}_{j'}} \delta_{n,n'}$$

$$\sum_{n, \mathbf{R}_j} W_n^*(\mathbf{r} - \mathbf{R}_j) W_n(\mathbf{r} - \mathbf{R}_{j'}) = \delta(\mathbf{r} - \mathbf{r}')$$

(See, e.g., Ref[5]).

Wannier centers

Define the Wannier center of $W_n(\mathbf{r} - \mathbf{R}_j)$ as

$$\bar{\mathbf{r}}_n^{(j)} = \langle W_n(\mathbf{r} - \mathbf{R}_j) | \mathbf{r} | W_n(\mathbf{r} - \mathbf{R}_j) \rangle$$

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For explicit calculation of the Wannier functions in the SSH model, see Phys. Rev. B **26**, 4269 (2016).

Wannier centers in the Rice-Mele model

Consider the Rice-Mele model (N site long, periodic boundary condition)

Bloch functions: $|\Psi_n(k)\rangle = |k\rangle \otimes |u_n(k)\rangle$

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$$|k\rangle = \frac{1}{\sqrt{N}} \sum_{l=1}^N e^{ik_x l}, \quad k_x \in \left\{ \frac{2\pi}{N}, 2\frac{2\pi}{N}, \dots, N\frac{2\pi}{N} \right\}$$

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Position operator:

$$\hat{x} = \sum_{m=1}^N m (|m, A\rangle\langle m, A| + |m, B\rangle\langle m, B|)$$

m : unit cell index; A, B , site index in a unit cell

Wannier centers in the Rice-Mele model

$$\begin{aligned}\hat{x}W_n(j) &= \hat{x} \frac{1}{\sqrt{N}} \sum_{k_x} e^{-ijk_x} |\Psi_n(k_x)\rangle \\ &= \frac{1}{\sqrt{N}} \sum_{k_x} e^{-ijk_x} \hat{x} \frac{1}{\sqrt{N}} \sum_l^N e^{ilk_x} |l\rangle \otimes |u_n(k_x)\rangle \\ &= \frac{1}{N} \sum_{k_x} e^{-ijk_x} \sum_m me^{imk_x} |m\rangle \otimes |u_n(k_x)\rangle\end{aligned}$$

Wannier centers in the Rice-Mele model

For $N \rightarrow \infty$ k_x continuous, use partial integration:

$$\begin{aligned}\hat{x}W_n(j) &= \frac{1}{2\pi} \int_0^{2\pi} dk_x e^{-ijk_x} \sum_m m e^{imk_x} |m\rangle \otimes |u_n(k_x)\rangle \\ &= \frac{1}{2\pi} (-i) \int_0^{2\pi} dk_x \frac{\partial}{\partial k_x} \sum_m e^{i(m-j)k_x} |m\rangle \otimes |u_n(k_x)\rangle \\ &+ \frac{1}{2\pi} \int_0^{2\pi} dk_x j e^{-ijk_x} \sum_m |m\rangle \otimes |u_n(k_x)\rangle \\ &+ \frac{i}{2\pi} \int_0^{2\pi} dk_x \sum_m |m\rangle \otimes \frac{\partial}{\partial k_x} |u_n(k_x)\rangle\end{aligned}$$

Wannier centers in the Rice-Mele model

This can be simplified:

$$\begin{aligned}\hat{x}W_n(j) &= \left(\frac{-i}{2\pi} \sum_m e^{i(m-j)k_x} |m\rangle \otimes |u_n(k_x)\rangle \right) \Big|_0^{2\pi} \\ &+ \frac{j}{2\pi} \int_0^{2\pi} dk_x e^{-ijk_x} \sum_m |m\rangle \otimes |u_n(k_x)\rangle \\ &+ \frac{i}{2\pi} \int_0^{2\pi} dk_x \sum_m |m\rangle \otimes \partial_{k_x} |u_n(k_x)\rangle\end{aligned}$$

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One finds:

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\Rightarrow can be expressed in terms of Berry-phase (Zak's phase)

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⇒ Wannier centers are equally spaced

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Maximally localized generalized Wannier functions for composite energy bands

Nicola Marzari and David Vanderbilt

Department of Physics and Astronomy, Rutgers University, Piscataway, New Jersey 08855-0849

(Received 10 July 1997)

We discuss a method for determining the optimally localized set of generalized Wannier functions associated with a set of Bloch bands in a crystalline solid. By “generalized Wannier functions” we mean a set of localized orthonormal orbitals spanning the same space as the specified set of Bloch bands. Although we minimize a functional that represents the total spread $\sum_n \langle r^2 \rangle_n - \langle \mathbf{r} \rangle_n^2$ of the Wannier functions in real space, our method proceeds directly from the Bloch functions as represented on a mesh of k points, and carries out the minimization in a space of unitary matrices $U_{mn}^{(k)}$ describing the rotation among the Bloch bands at each k point. The method is thus suitable for use in connection with conventional electronic-structure codes. The procedure also returns the total electric polarization as well as the location of each Wannier center. Sample results for Si, GaAs, molecular C_2H_4 , and LiCl will be presented. [S0163-1829(97)02944-5]

Figure: Phys Rev B **56**, 12847 (1997).

Maximally localized Wannier functions

Gauge freedom in choosing the Bloch orbitals: $\Psi_{n\mathbf{k}}(\mathbf{r}) \rightarrow e^{i\phi_n(\mathbf{k})}\Psi_{n\mathbf{k}}(\mathbf{r})$
 $[u_{n\mathbf{k}}(\mathbf{r}) \rightarrow e^{i\phi_n(\mathbf{k})}u_{n\mathbf{k}}(\mathbf{r})]$ describes the same electron density

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 \Rightarrow **Wannier functions are not unique!**

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If there are band degeneracies for some \mathbf{k} (symmetries, e.g., time reversal):
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A more general $U(J)$ gauge freedom:

$$u_{n\mathbf{k}}(\mathbf{r}) \rightarrow \sum_p U_{pn}^{(\mathbf{k})} u_{p\mathbf{k}}(\mathbf{r})$$

Maximally localized Wannier functions (MLWF)

Define for the Wannier functions at the origin $\mathbf{R}_j = 0$ the following:

- 1 Wannier center $\bar{\mathbf{r}}_n = \langle W_n(\mathbf{r}) | \mathbf{r} | W_n(\mathbf{r}) \rangle$
- 2 second moment $\langle r^2 \rangle_n = \langle W_n(\mathbf{r}) | r^2 | W_n(\mathbf{r}) \rangle$

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A measure of the spread (delocalization) of the Wannier functions:

$$\Omega = \sum_n [\langle r^2 \rangle_n - \bar{\mathbf{r}}_n^2]$$

One can then try to minimize Ω with respect to the unitary transformations $U_{pn}^{(\mathbf{k})} \Rightarrow$ Maximally localized Wannier functions (MLWF)

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This approach can be used to obtain MLWFs from DFT calculations in plane-wave basis.

Maximally localized Wannier functions (MLWF)

One can decompose $\Omega = \Omega_1 + \Omega_2$, where

$$\Omega_1 = \sum_n \left[\langle r^2 \rangle_n - \sum_{\mathbf{R}, m} |\langle \mathbf{R}m | \mathbf{r} | \mathbf{0}n \rangle|^2 \right]$$

$$\Omega_2 = \sum_n \sum_{\mathbf{R}m \neq \mathbf{0}n} |\langle \mathbf{R}m | \mathbf{r} | \mathbf{0}n \rangle|^2$$

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$$\Omega_1 = \sum_n \left[\langle r^2 \rangle_n - \sum_{\mathbf{R},m} |\langle \mathbf{R}m | \mathbf{r} | \mathbf{0}n \rangle|^2 \right]$$

$$\Omega_2 = \sum_n \sum_{\mathbf{R}m \neq \mathbf{0}n} |\langle \mathbf{R}m | \mathbf{r} | \mathbf{0}n \rangle|^2$$

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Argument does not work in 3D, because $P \hat{x} P$, $P \hat{y} P$, $P \hat{z} P$ do not commute

Summary I

- bulk properties of materials \rightarrow periodic boundary conditions \rightarrow Bloch functions
- alternative description: Wannier functions
- Wannier-centers (expectation value of the position operator): in Rice-Mele model \rightarrow Berry-phase
- Maximally localized Wannier functions: in 1D eigenfunctions of the projected position operator $P\hat{x}P$

- Reminder: Bloch functions, periodic boundary condition
- Wannier functions: introduction
- Maximally localized Wannier functions
- **Modern theory of polarization**
- Polarization and Wannier centers
- Calculation of Berry phase in discrete k space
- Position operator and periodic boundary conditions
- Calculation of Wannier centers in 1D systems

PHYSICAL REVIEW B

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RAPID COMMUNICATIONS

15 JANUARY 1993-I

Theory of polarization of crystalline solids

R. D. King-Smith and David Vanderbilt

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(Received 10 June 1992)

Theory of Polarization: A Modern Approach

Raffaele Resta¹ and David Vanderbilt²

Figure: Theory of Polarization: A Modern Approach," in *Physics of Ferroelectrics: a Modern Perspective*, ed. by K.M. Rabe, C.H. Ahn, and J.-M. Triscone (Springer-Verlag, 2007, Berlin), pp. 31-68. (local preprint)

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Macroscopic polarization \mathbf{P} : fundamental concept in the phenomenological description of dielectrics

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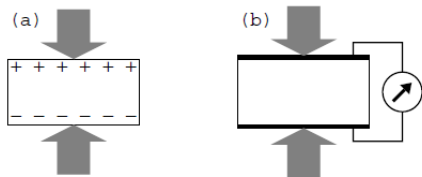


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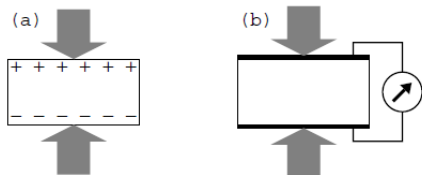


Figure: Piezoelectricity: surface or bulk effect? (Figure from Ref[4]).

While the crystal is strained, a transient electrical current flows through the sample

Modern theory of polarization

Fundamental relation: the change in polarization \mathbf{P} is accompanied with a transient current $\mathbf{j}(t)$ flowing through the sample:

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If the change is slow enough → adiabatic limit → adiabatic perturbation theory to calculate $\mathbf{j}(t)$

Formal description of the theory: polarization \mathbf{P}

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Assumptions:

- system remains insulating for all values of λ
- system bulk retains crystalline periodicity for all λ

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Schrödinger equation for the lattice periodic part $u_{\mathbf{k}}^{(\lambda)}(\mathbf{r})$

$$\left[\frac{(\hat{p} + \hbar\mathbf{k})^2}{2m_e} + U^{(\lambda)}(\mathbf{r}) \right] u_{n,\mathbf{k}}^{(\lambda)}(\mathbf{r}) = E_{n,\mathbf{k}}^{(\lambda)} u_{n,\mathbf{k}}^{(\lambda)}(\mathbf{r})$$

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Adiabatic change: the current $\mathbf{j}^{(\lambda)}$ can be calculated using adiabatic perturbation theory

For a adiabatically changing time periodic 1D lattice

$[H(k, t) = H(k, t + T)]$ this is done in Chapter 5 of the Lecture Notes (Ref[7])

The same steps can be done here: $t \rightarrow \lambda$, λ does not need be periodic

Formal description of the theory: current $\mathbf{j}^{(\lambda)}$

Current from a single filled band n :

$$\frac{d\mathbf{P}_n^{(\lambda)}}{d\lambda} = \mathbf{j}_n^{(\lambda)} = \frac{-ie}{(2\pi)^3} \int_{BZ} d\mathbf{k} [\langle \partial_{\mathbf{k}} u_{n,\mathbf{k}}^{(\lambda)} | \partial_{\lambda} u_{n,\mathbf{k}}^{(\lambda)} \rangle - \langle \partial_{\lambda} u_{n,\mathbf{k}}^{(\lambda)} | \partial_{\mathbf{k}} u_{n,\mathbf{k}}^{(\lambda)} \rangle]$$

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Total change in polarization (\rightarrow number of pumped particles):

$$\Delta\mathbf{P} = \frac{-ie}{(2\pi)^3} \sum_{n=1}^N \int_{BZ} d\mathbf{k} \int_0^{\lambda} d\lambda [\langle \partial_{\mathbf{k}} u_{n,\mathbf{k}}^{(\lambda)} | \partial_{\lambda} u_{n,\mathbf{k}}^{(\lambda)} \rangle - \langle \partial_{\lambda} u_{n,\mathbf{k}}^{(\lambda)} | \partial_{\mathbf{k}} u_{n,\mathbf{k}}^{(\lambda)} \rangle]$$

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Polarization as Berry phase

For simplicity, consider a 1D system:

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- partial integration with respect to λ
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$$\int_0^1 d\lambda \int_{BZ} dk \frac{\partial}{\partial k} \langle u_{n,k}^{(\lambda)} | \partial_\lambda u_{n,k}^{(\lambda)} \rangle = 0$$

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Note: the total electrical polarization of any material has an ionic contribution as well (but this will not be important in the discussion of topological properties)

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In 3D: $\tilde{\mathbf{P}}_n = \mathbf{P}_n + \frac{e\mathbf{R}}{V_{cell}}$, \mathbf{R} a lattice vector.

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- Wannier functions: introduction
- Maximally localized Wannier functions
- Modern theory of polarization
- **Polarization and Wannier centers**
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Polarization and Wannier centers

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Polarization \sim sum of the Wannier centers of the occupied bands (for one given R_m)

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The Wannier center must return to their initial location at the end of the cyclic evolution. But this is possible in two different ways:

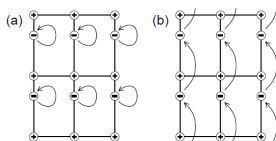


Figure: Fig.10 of “Theory of Polarization: A Modern Approach”

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$$\langle W_n^{(t)}(j) | \hat{x} | W_n^{(t)}(j) \rangle = \frac{i}{2\pi} \int_0^{2\pi} dk_x \langle u_n^{(t)}(k_x) | \partial_{k_x} | u_n^{(t)}(k_x) \rangle + j$$

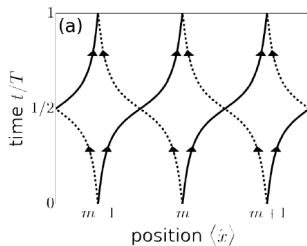


Figure: Figure 4.5(a) of the Lecture Notes. Time evolution of the Wannier centers of the bands. Solid line: valence band, dashed line: conduction band. The Chern number is 1.

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Polarization as Berry phase: calculation in discrete \mathbf{k} -space

We only consider the 1D case

$P_n^{(\lambda)} = \frac{e}{2\pi} \Phi_n^{(\lambda)}$; L length of sample

$$\Phi_n^{(\lambda)} = -\text{Im} \int_{\text{BZ}} dk \langle u_{n,k}^{(\lambda)} | \partial_k | u_{n,k}^{(\lambda)} \rangle$$

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Typically, we need to calculate this on a discrete grid of k -points

$$\int dk \langle u_{n,k} | \partial_k u_{n,k} \rangle dk \rightarrow \sum_{k_j} dk \langle u_{n,k} | \partial_k u_{n,k} \rangle |_{k=k_j}$$

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$$u_{n,k+dk} \approx u_{n,k} + \partial_k u_{n,k} dk$$

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Can be considered a "1D Wilson loop" for a single non-degenerate band

- Reminder: Bloch functions, periodic boundary condition
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- **Position operator and periodic boundary conditions**
- Calculation of Wannier centers in 1D systems

A subtle issue: position operator and periodic boundary conditions

In 1D the eigenfunctions of the operator $P\hat{x}P$, where P is a projector onto a set of bands, yields a localized set of Wannier functions. The eigenvalues of $P\hat{x}P$ are the Wannier centers.

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The projectors are most easily calculated using Bloch functions:

$$P = \sum_{n,k_x} |\Psi_{n,k_x}\rangle \langle \Psi_{n,k_x}| = \sum_{n,k_x} |u_{n,k_x}\rangle \langle u_{n,k_x}|$$

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⇒ We need to find a "periodic" form of $P\hat{x}P$

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Raffaele Resta [3]: if periodic boundary conditions are used, expectation values that involve the operator \hat{x} should be calculated using the **unitary** operator

$$\hat{X} = e^{i\frac{2\pi}{L}\hat{x}}$$

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⇒ to obtain well-localized Wannier functions while using periodic boundary conditions: find the eigenfunction(s) of

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Note: in general \hat{X}_P is not a Hermitian operator, only for $L \rightarrow \infty$

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$P\hat{X}P$ operator in 1D lattice system

For a 1D system consisting of M unit cells:

$$\hat{x} = \sum_{m=1}^M \sum_{\alpha} R_m |m, \alpha\rangle \langle m, \alpha|$$

α band index, R_m labels the m th unit cell

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$$\Rightarrow \hat{X} = \sum_{m=1}^M \sum_{\alpha} e^{i\delta_k R_m} |m, \alpha\rangle \langle m, \alpha| \quad \delta_k = \frac{2\pi}{Ma}$$

a : lattice constant

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For simplicity, consider a single occupied band and the corresponding Bloch functions $|\Psi_k\rangle$

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First, the matrix elements of \hat{X} :

$$\begin{aligned}\langle\Psi_{k'}|\hat{X}|\Psi_k\rangle &= \sum_{m'=1}^M \frac{e^{-ik'R_{m'}}}{M} \langle m' | \otimes \langle u(k') | \sum_{m=1}^M e^{i\delta_k R_m} e^{ikR_m} |m\rangle \otimes |u(k)\rangle \\ &= \frac{1}{M} \langle u(k') | u(k) \rangle \sum_{m=1}^M e^{i(k+\delta_k-k')R_m} \\ &= \langle u(k') | u(k) \rangle \delta_{k+\delta_k-k',0}\end{aligned}$$

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$$\Rightarrow \hat{X}_P = \sum_{k,k'} |\Psi_{k'}\rangle \langle\Psi_{k'}|\hat{X}|\Psi_k\rangle \langle\Psi_k| = \sum_k \langle u(k+\delta_k) | u(k) \rangle |\Psi_{k+\delta_k}\rangle \langle\Psi_k|$$

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Note, we used that $\langle u(k_2)|u(k_1)\rangle, \langle u(k_3)|u(k_2)\rangle$ etc are complex numbers
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Note: since \hat{X}_P is not Hermitian, the eigenvectors are not orthogonal in general, only in the $M \rightarrow \infty$ limit (we will actually not need the eigenstates)

Wannier centers and the eigenvalues of $P\hat{X}P$

Remember from earlier:

- 1) polarization of a single filled band in a 1D lattice $\sim \bar{x}_j$ Wannier centers, $\bar{x}_j = \langle W(j)|x|W(j)\rangle$, j is unit cell index

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j different discrete value of k in the 1D Brillouin zone

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If there are M unit cells, k is discretized as $k_m = \frac{2\pi}{Ma} m$

\Rightarrow The Wannier centers \bar{x}_m can be obtained from λ_m as

$$\bar{x}_m = \frac{M}{2\pi} \text{Im} \ln[\lambda_m] = \frac{\theta}{2\pi} + m = \frac{\text{Im} \ln[w]}{2\pi} + m$$