

# Graphene: Quantum Transport via Evanescent Waves

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(slides from the talk with additional  
notes added in some places)

# Overview

Quantum Transport: Landauer Formula

Graphene:

- Introduction
- Eigenfunctions
- Transmission through a  $E = 0$  'barrier'
- Conductivity
- Other Geometries
- Magnetic Field: Aharonov-Bohm effect

Summary and Literature

# Landauer Formula

$\psi_{n,E}$ : Eigenstate with energy  $E$        $T_n(E) = \frac{j_{\text{out}}(\psi_{n,E})}{j_{\text{in}}(\psi_{n,E})}$

$T$ : temperature,  $V$ : applied voltage,  $I$ : current

$$I = \frac{e}{h} \int_{-\infty}^{\infty} dE \sum_n T_n(E) [f_L(E) - f_R(E)]$$

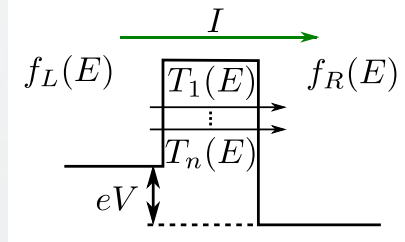
$$f_L(E) = f_R(E - eV)$$

Use  $T \rightarrow 0, V \rightarrow 0$  :

$$I = \frac{e}{h} \cdot eV \cdot \sum_n T_n(E_F)$$

$$I = GV \quad \Rightarrow \quad G = \frac{e^2}{h} \sum_n T_n(E_F)$$

'multi-channel  
Landauer formula'



Fano factor:

$$F = \frac{\sum_n T_n(1 - T_n)}{\sum_n T_n}$$

# 2D: Conductance and Conductivity

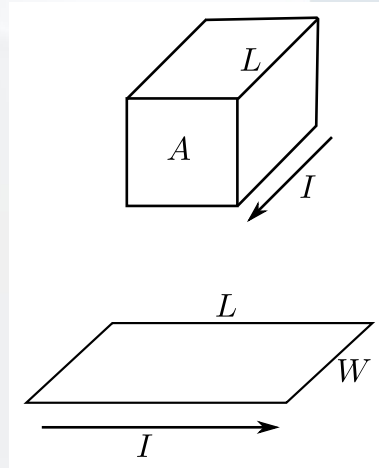
$G$ : conductance,  $\sigma$ : conductivity

3D:  $G = \sigma \cdot \frac{A}{L}$        $A$ : cross section area,  
 $L$ : length

2D:  $G = \sigma \cdot \frac{W}{L}$        $W$ : width,  $L$ : length

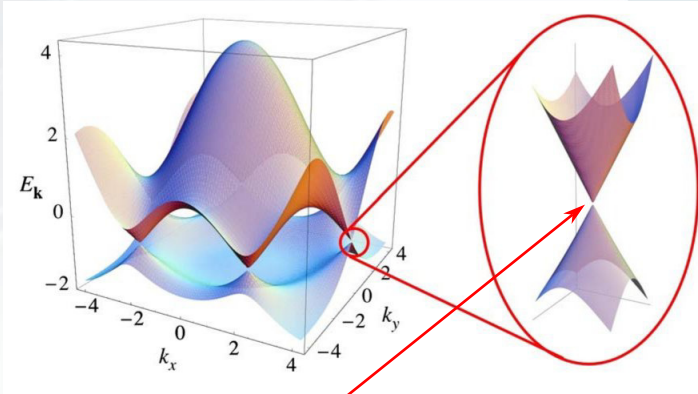
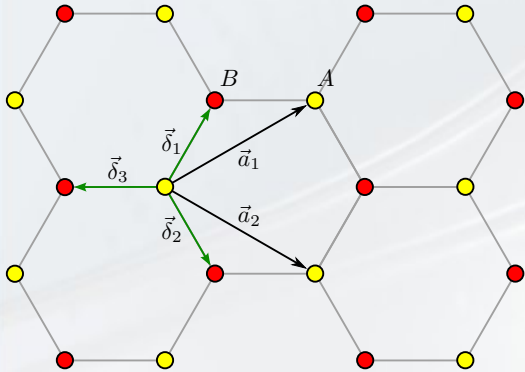
2D: one complex variable  $z = x + iy$   
instead of two real variables  $x, y$

$\psi(z) := \psi(\operatorname{Re} z, \operatorname{Im} z)$  with wave function  $\psi(x, y)$



# Graphene

Castro Neto 2009, Rev Mod Phys 81 109, arXiv:0709.1163



$$n(E) \propto E$$

What is  $G(E = 0)$

Low energy limit, valley  $K$  only:

$$H_K(\vec{r}) = v_F \begin{pmatrix} 0 & p_x - ip_y \\ p_x + ip_y & 0 \end{pmatrix}$$

$$\vec{p} = -i\hbar\vec{\nabla}$$

$$\psi = \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix}$$

$$v_F \approx 10^6 \frac{\text{m}}{\text{s}}$$

$$\vec{p} = -i\hbar\vec{\nabla}$$

## Schrödinger

Hamiltonian:

$$H = \frac{\vec{p}^2}{2m}$$

Eigenstates:

$$\psi(\vec{r}) = \exp(i\vec{k} \cdot \vec{r})$$

Eigenvalues:  $E = \frac{\hbar^2\vec{k}^2}{2m}$

Probability current:

$$\begin{aligned}\vec{j}(\vec{r}) &= \text{Re}(\psi^* \frac{\vec{p}}{m} \psi) \\ &= \frac{\hbar}{2mi}(\psi^* \vec{\nabla} \psi - \psi \vec{\nabla} \psi^*)\end{aligned}$$

## Dirac 2D (= valley K of graphene, used from here on)

$$H = v_F \vec{p} \cdot \vec{\sigma}$$

$$= v_F \begin{pmatrix} 0 & p_x - ip_y \\ p_x + ip_y & 0 \end{pmatrix}$$

$$\psi(\vec{r}) = \begin{pmatrix} 1 \\ s \exp(i\phi_{\vec{k}}) \end{pmatrix} \cdot \exp(i\vec{k} \cdot \vec{r})$$

$$E = s\hbar v_F |\vec{k}| \quad s \in \{-1, 1\}$$

$$\vec{j}(\vec{r}) = \psi^\dagger v_F \vec{\sigma} \psi$$

# Dirac 2D Zero Energy Modes

$$H = v_F \vec{p} \cdot \vec{\sigma}$$

$$= -i\hbar v_F \begin{pmatrix} 0 & \partial_x - i\partial_y \\ \partial_x + i\partial_y & 0 \end{pmatrix}$$

$\vec{r} \in \mathbb{R}^2$  (infinite plane)  
and  $E \neq 0$ :

$$\psi(\vec{r}) = \begin{pmatrix} 1 \\ s \exp(i\phi_{\vec{k}}) \end{pmatrix} \cdot \exp(i\vec{k} \cdot \vec{r})$$

$$E = s\hbar v_F |\vec{k}| \quad s \in \{-1, 1\}$$

$$E = 0 :$$

$$H \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = 0$$

$\Leftrightarrow$

$$(\partial_x + i\partial_y)\psi_1 = 0$$

$$(\partial_x - i\partial_y)\psi_2 = 0$$

**Solutions:**

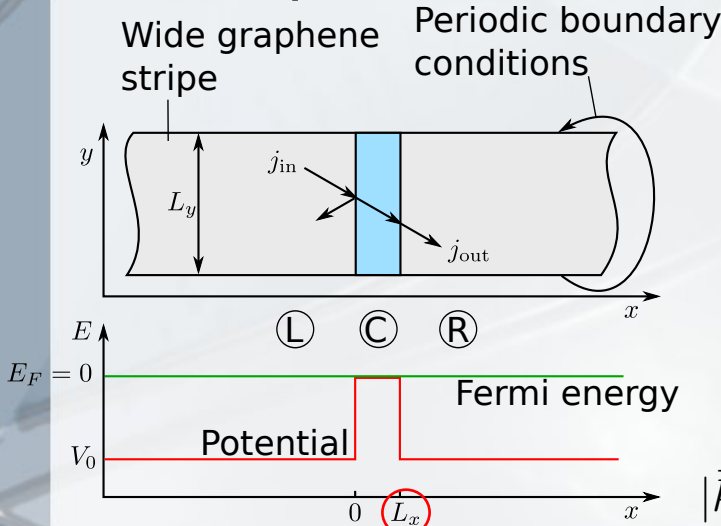
$$\psi_1(x, y) = \psi_1(x + iy)$$

$$\psi_2(x, y) = \psi_2(x - iy)$$

analytic

c.c. analytic

# Transport: Piecewise Wave Function



$$\psi^L(x=0, y) = \psi^C(x=0, y)$$

$\Rightarrow$

$$\psi_1^C(x, y) = c_1 \cdot e^{ik_y y + k_y x}$$

$$\psi_2^C(x, y) = c_2 \cdot e^{ik_y y - k_y x}$$

Evanescent waves

$$|\vec{k}| \hbar v_F = E = |V_0|$$

$$\psi^L = \left[ \left( \frac{1}{e^{i\phi}} \right) e^{ik_x x} + r \left( \frac{1}{e^{-i\phi}} \right) e^{-ik_x x} \right] \cdot e^{ik_y y}$$

$$\psi^C = \begin{pmatrix} \psi_1^C \\ \psi_2^C \end{pmatrix}$$

analytic

c.c. analytic

$$\psi^R = t \cdot \left( \frac{1}{e^{i\phi}} \right) e^{ik_x x + ik_y y}$$

$$\psi^L(x=0) = \psi^C(x=0)$$

$$\psi^C(x=L_x) = \psi^R(x=L_x)$$

4 equations for  $t, r, c_1, c_2$



# Transport: Transmission Probability

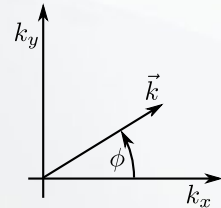
For the conductance, we want the transmission probability  $T$ :

$$T = \frac{j_{\text{out}}}{j_{\text{in}}} \Rightarrow T = |t|^2$$

Solving the linear system gives:

$$T = \frac{\cos^2(\phi)}{\cosh^2(k_y L_x) - \sin^2(\phi)} \approx \frac{1}{\cosh^2(k_y L_x)}$$

$|k_y L_x| \leq |k_F L_x| \gg 1$



Energy of the plane wave:

$$|V_0| = E = \hbar v_F |\vec{k}|$$

Use:

$$k_F := |\vec{k}|$$

Assume:

$$k_F L_x \gg 1$$

Use  $\phi \approx 0$  and calculate  $T$  again:

$$T = 4 \cdot \left| \frac{\psi_1^C(x=0, y)}{\psi_1^C(x=L_x, y)} + \frac{\psi_2^C(x=0, y)}{\psi_2^C(x=L_x, y)} \right|^{-2}$$

# Transport: Conductivity

Periodic boundary conditions:

$$\psi(y = 0) = \psi(y = L_y) \Rightarrow k_y = \frac{2\pi}{L_y} \cdot n \quad \text{with } n \in \mathbb{Z}$$

Total transmission:  $|k_y L_x| \leq |k_F L_x| \gg 1$

$$T = \sum_{n=-n_0}^{n_0} \frac{1}{\cosh^2(k_y L_x)} \approx \sum_{n=-\infty}^{\infty} \frac{1}{\cosh^2(k_y L_x)} \approx \underbrace{\int_{-\infty}^{\infty} \frac{1}{\cosh^2(x)} dx}_{=2} \cdot \frac{L_y}{2\pi L_x}$$

$L_y \gg L_x$

Conductance:

$$G = \frac{e^2}{h} T = \underbrace{\frac{e^2}{h\pi}}_{F} \cdot \frac{L_y}{L_x} \quad F = \frac{1}{3}$$

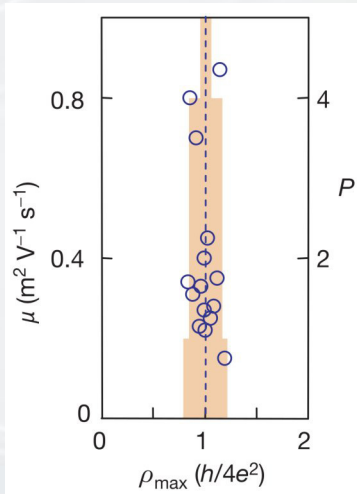
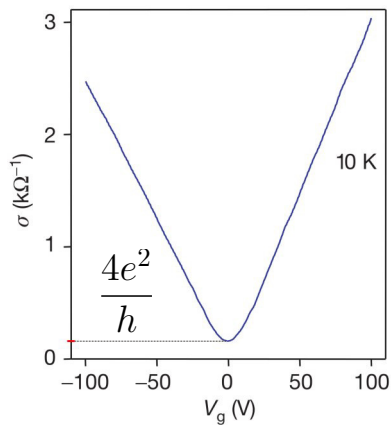
this might be  $\sigma$   
(conductivity)

# Transport: Conductivity

Conductivity at the Dirac point:  $\sigma = \frac{e^2}{h\pi}$

2 spin and 2 valley states (neglected)  $\Rightarrow$  factor 4:  $\sigma = \frac{4e^2}{h\pi}$

Measurement:



Novoselov et al. 2005,  
Nature 438 p. 197,  
arXiv:cond-mat/0509330

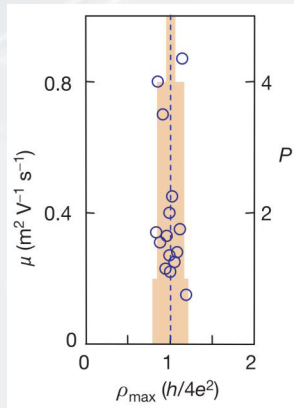
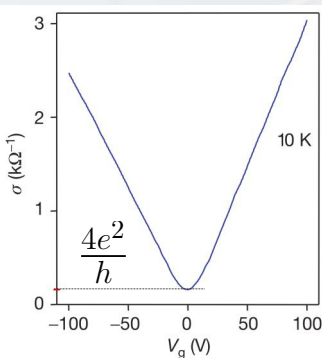
Quantum transport  
through  
evanescent waves!

Conductivity  $\sigma > 0$  at Dirac point confirmed,  
order of magnitude agrees

# The Missing Pi

Theory:  $\sigma = \frac{4e^2}{h\pi}$        $\frac{1}{\sigma} = 20 \text{ k}\Omega$

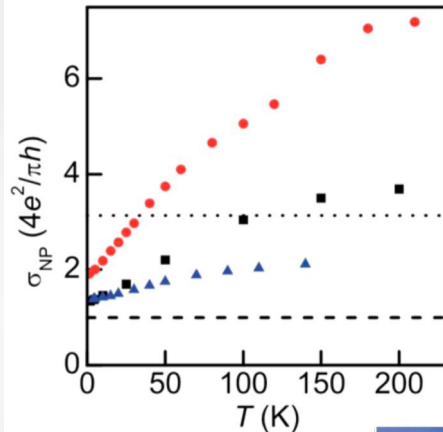
Graphene on Silicon Oxide substrate



Novoselov et al. 2005, Nature 438 p. 197,  
arXiv:cond-mat/0509330

Coupling to substrate  
Charge inhomogeneities

Graphene with Substrate Etched Away

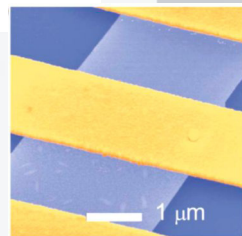


Mayorov et al 2012,  
Nano Letters 12 p. 4629,  
arXiv:1206.3848

$$E \sim 1 \text{ meV}$$

$$\Delta n \sim 10^8 \text{ cm}^{-2}$$

$$= 1 \mu\text{m}^{-2}$$



# Corbino Geometry

$$\psi^C = \begin{pmatrix} \psi_1^C \\ \psi_2^C \end{pmatrix}$$

analytic  
c.c. analytic

$\psi_1^C(z)$  analytic and  $w(z)$  analytic  
 $\Rightarrow \psi_1^C(w(z))$  analytic

$\Rightarrow$  use  $w(z)$  to map geometry:

$$w(z) = R_1 \cdot \exp\left(\frac{2\pi z}{L_y}\right)$$

with  $\frac{L_x}{L_y} = \frac{1}{2\pi} \cdot \ln\left(\frac{R_2}{R_1}\right)$

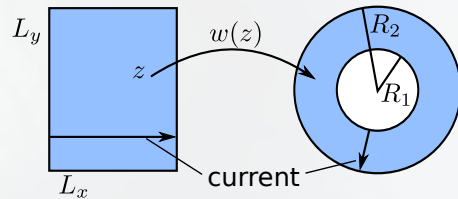
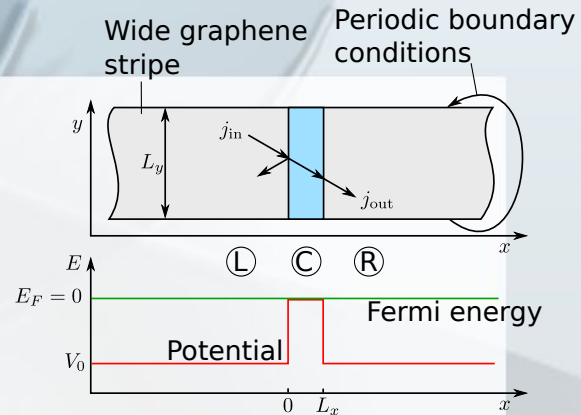
Boundary conditions:

$$\phi \approx 0$$

$$\Rightarrow \psi^L(x=0, y) = \begin{pmatrix} 1+r \\ 1+r \end{pmatrix} \cdot e^{ik_y y}$$

$$\psi^L = \left[ \begin{pmatrix} 1 \\ e^{i\phi} \end{pmatrix} e^{ik_x x} + r \begin{pmatrix} 1 \\ e^{-i\phi} \end{pmatrix} e^{-ik_x x} \right] \cdot e^{ik_y y}$$

$$\psi^R = t \cdot \begin{pmatrix} 1 \\ e^{i\phi} \end{pmatrix} e^{ik_x x + ik_y y} \quad \Rightarrow \psi^R(x=L_x, y) = \begin{pmatrix} t \\ t \end{pmatrix} e^{ik_y y}$$

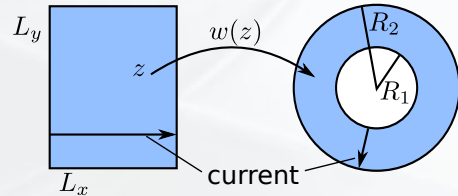


# Corbino Geometry: Conductance

$$w(z) = R_1 \cdot \exp\left(\frac{2\pi z}{L_y}\right)$$

$$\text{with } \frac{L_x}{L_y} = \frac{1}{2\pi} \cdot \ln\left(\frac{R_2}{R_1}\right)$$

$$T_n = \frac{1}{\cosh^2(k_y L_x)} = \frac{1}{\cosh^2(n \cdot \ln(R_2/R_1))}$$



$$k_y = \frac{2\pi}{L_y} \cdot n$$

$$\text{with } n + \frac{1}{2} \in \mathbb{Z}$$

$$|R_2 - R_1| \ll R_1:$$

$$R_1 \ll R_2:$$

$$G \approx \frac{2e^2}{h} \cdot \frac{1}{\ln(R_2/R_1)}$$

$$G \approx \frac{8e^2}{h} \cdot \frac{R_1}{R_2}$$

$$\psi(y=0) = -\psi(y=L_y)$$

Berry's phase

$$F \approx \frac{1}{3}$$

$$F \approx 1 - G \cdot \frac{h}{8e^2}$$

# Magnetic field

$$\vec{B} = \begin{pmatrix} 0 \\ 0 \\ B_z(x,y) \end{pmatrix}$$

see ch. 2.3 from  
Katsnelson,  
2012

$$B_z = \vec{\nabla}^2 \phi(x,y)$$

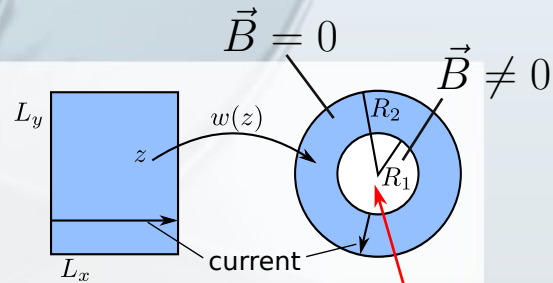
$$\psi_{1,2}^C = \exp(\mp q\phi) \bar{\psi}_{1,2}^C$$

$$H(B)\psi^C = 0 \quad \Leftrightarrow$$

$$H(B=0)\bar{\psi}^C = 0$$

$$\psi_1^C(x,y) = e^{-q\phi(R)} e^{-ik_y y} e^{k_y x}$$

$$T = 4 \cdot \left| \frac{\psi_1^C(x=0,y)}{\psi_1^C(x=L_x,y)} + \frac{\psi_2^C(x=0,y)}{\psi_2^C(x=L_x,y)} \right|^{-2} e^{-q(\phi(R_1)-\phi(R_2))} e^{k_y L_x}$$



$$w(z) = R_1 \cdot \exp\left(\frac{2\pi z}{L_y}\right) \quad \text{flux } \Phi$$

$$\phi(R_2) - \phi(R_1) = \Phi \cdot \ln\left(\frac{R_2}{R_1}\right)$$

Boundary Conditions:

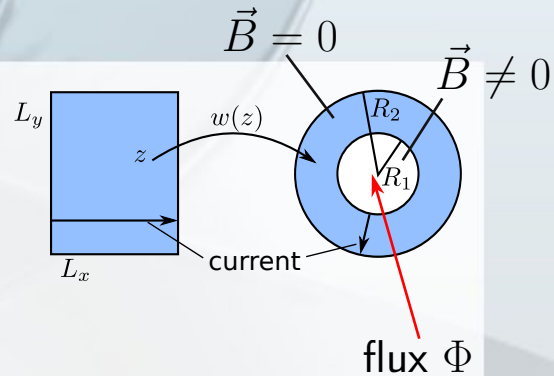
$$\psi^L(x=0,y) = \left(\frac{1+r}{1+r}\right) \cdot e^{ik_y y}$$

$$\psi^R(x=L_x,y) = \left(\frac{t}{t}\right) e^{ik_y y}$$

# Aharonov-Bohm effect

$$w(z) = R_1 \cdot \exp\left(\frac{2\pi z}{L_y}\right)$$

$$\phi(R_2) - \phi(R_1) = \Phi \cdot \ln\left(\frac{R_2}{R_1}\right)$$



$$T = 4 \cdot \left| \frac{\psi_1^C(x=0, y)}{\psi_1^C(x=L_x, y)} + \frac{\psi_2^C(x=0, y)}{\psi_2^C(x=L_x, y)} \right|^{-2} e^{-q(\phi(R_1) - \phi(R_2))} e^{k_y L_x}$$

Final result:

$$G = \frac{e^2}{h} \cdot \left[ 1 - f_1(R_2/R_1) \cdot \cos\left(\frac{e\Phi}{h}\right) \right]$$

$$F = \frac{1}{3} + f_2(R_2/R_1) \cdot \cos\left(\frac{e\Phi}{h}\right)$$

$R_2/R_1 = 5$ :  
Effects of 5%  
and 42%



see ch. 3.1 of Katsnelson, 2012  
for 'intrinsic disorder' related to  
zitterbewegung

# Summary

Minimal conductivity at  $E = 0$  is  $\sigma = \frac{4e^2}{h\pi}$  (no (external) disorder or scattering at impurities)

Analytic functions (= 'conformal maps') for  $\sigma(E = 0)$  in different geometries

Aharonov-Bohm effect in a Magnetic field at  $E = 0$  predicted

# Literature

- This talk: Katsnelson: Graphene (2012, Cambridge University Press) (ch. 2.3 and 3)
- Landauer formula: Cuevas, Scheer: Molecular Electronics (2010, World Scientific Publishing) (ch. 4)  
Datta: Electronic Transport in Mesoscopic Systems, (1995, Cambridge University Press)
- Berry's Phase: Böhm: The Geometric Phase in Quantum Systems (2003, Springer) (esp. ch. 2 together with ch. 2.4 from Katsnelson, 2012; ch. 12)